

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:  
James Sinclair et al.

Application No.: 10/694,758

Confirmation No.: 5750

Filed: October 29, 2003

Art Unit: 3693

For: ELECTRONIC TRADING SYSTEM HAVING  
INCREASED LIQUIDITY PROVISION

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Examiner: Jessica Lemieux

**AMENDMENT/SUBMISSION AND REQUEST FOR INTERVIEW**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

Before issuance of the next Office Action, please amend the above-identified U.S. patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 23 of this paper.